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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Spinal Concepts, Inc.Application No./Patent No.: See Attachment A Filed/Issue Date: See Attachment AEntitled: See Attachment A

Spinal Concepts, Inc., a corporation  
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.  
 The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
 in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. (See Attachment A)

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

7/23/04  
 Date

(512) 918-2700

Telephone number

Jeffrey R. Binder

Typed or printed name

Signature

Vice President, Spinal Concepts, Inc.

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**STATEMENT UNDER 37 CFR 3.73(b)**  
**Attachment A**

Case No.	Date	Status	Applicant	Device	Procedure	Applicant	Date
08/08/219	10/17/2000	Granted	6/13/2003	8562040	5259-00100USC1	Spinal Fixation System	Erik J. Wagner
08/09/758	8/9/1998	Granted	10/17/2000	6132430	5259-00100USD1	Spinal Fixation System	Erik J. Wagner
08/09/324	11/4/1999	Filed			5259-00100USD2	Method and Apparatus for Spinal Fixation	Erik J. Wagner
08/740123	10/24/1996	Granted	7/9/2002	6416515	5259-00100USO1	Spinal Fixation System	Erik J. Wagner
08/08/2325	10/1/1997	Granted	7/22/2003	6595992	5259-00100USP1	Method and Apparatus for Spinal Fixation	Erik J. Wagner
08/02/6711	2/20/1998	Granted	11/23/1999	5389250	5259-00100USP2	Spinal Fixation	Erik J. Wagner
08/06/5200	6/27/2000	Granted	6/10/2003	6578016	5259-00200USC1	Adjustable Height Fusion Device	Stephen Houchschuler
08/153178	8/15/1998	Granted	6/27/2000	6080193	5259-00200USD1	Adjustable Height Fusion Device	Stephen Houchschuler
08/047172	5/11/1997	Granted	4/4/2000	6045579	5259-00200USO1	Adjustable Height Fusion Device	Stephen Houchschuler
08/046765	3/24/1998	Granted	11/4/2003	6841614	5259-00200USP1	Adjustable Height Fusion Device	Stephen Houchschuler
08/070116	4/30/1998	Granted	3/16/2004	6706070	5259-00200USP2	Adjustable Height Fusion Device	Stephen Houchschuler
08/211887	12/15/1998	Granted	8/10/1999	5935133	5259-00500USD1	Surgical Cable System and Method	Erik J. Wagner
08/211888	12/15/1998	Granted	5/21/2002	6391030	5259-00500USD2	Surgical Cable System and Method	Erik J. Wagner
08/091217	8/26/1997	Granted	10/12/1999	5564789	5259-00500USO1	Surgical Cable System and Method	Erik J. Wagner
08/065186	5/26/1998	Granted	4/25/2000	6053921	5259-00500USP1	Surgical Cable System and Method	Erik J. Wagner
08/260062	7/23/1998	Granted	1/27/2004	6662533	5259-00500USP2	Surgical Cable System and Method	Erik J. Wagner

**STATEMENT UNDER 37 CFR 3.74(b)**  
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10039012	12/26/2001	Filed		5258-00800USC1	System and Method for Stabilizing the Human Spine with a Bone Plate	Erk J. Wagner	009450/0947 Recorded 8/1/98	
09/088027	6/2/1998	Granted	9/24/2002	6454769	5259-00600USP1	System and Method for Stabilizing the Human Spine with a Bone Plate	Erk J. Wagner	009450/0947 Recorded 8/1/98
09/095280	6/10/1998	Granted	2/29/2000	6030389	5259-00600USP2	System and Method for Stabilizing the Human Spine with a Bone Plate	Erk J. Wagner	009450/0959 Recorded 8/1/98
08/896281	7/6/1997	Granted	7/27/1999	5928243	5259-00700USC1	Pedicle Probe and Depth Gauge	Richard D. Guyer	008932/0169 Recorded 1/30/98
09/169303	8/23/1998	Granted	11/14/2000	6148382	5258-01200USC1	Coccygeal Stabilization System and Method	John R. Hurlbert	008666/0052 Recorded 1/23/98
09/070323	4/30/1998	Granted	8/8/2000	8098627	5259-01300USC1	Bone Protector and Method	Stephen Houschuler	008650/0211 Recorded 1/6/98
08/059162	4/13/1998	Granted	5/16/2000	6063089	5259-02100USC1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/772407	12/23/1996	Granted	7/7/1998	5776136	5259-02100USC1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/059108	4/13/1998	Granted	10/31/2000	6139548	5259-02200USC1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/549877	10/30/1995	Granted	5/19/1998	5752955	5259-02200USC1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/070234	4/30/1998	Granted	10/5/1999	5991518	5259-02300USC1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/261977	3/4/1999	Granted	1/25/2000	6017344	5259-02300USD1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98

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08/860773	5/15/1987	Granted	7/28/1988	6788711	6259-02300USO1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/860810	6/23/1997	Granted	9/22/1998	6810819	5259-02300USP1	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Joseph P. Errico	009276/0021 Recorded 6/30/98
09/070268	4/30/1998	Granted	12/7/1999	5997639	5259-02300USP2	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/022891	3/29/1998	Granted	8/5/1997	5653763	5259-02500USO1	Interfretal Space Shape Conforming Cage Device	Joseph P. Errico	009490/0082 Recorded 10/7/98
08/667144	12/4/1995	Granted	9/18/1997	6887507	5259-02600USO1	Compression Locking Variable Length Cross-Link Device for Use with Dual Rod Apparatus	James P. Errico	9490/0692 Recorded 10/5/98
08/632561	4/15/1995	Granted	1/20/1998	6708684	5259-02600USP1	Advanced Compression Locking Variable Length Cross-Link Device	Joseph P. Errico	9490/0692 Recorded 10/5/98
09/229297	1/13/1998	Granted	9/26/2000	6123707	5259-03000USO1	Reduction Instrument	Erik J. Wagner	009840/0875 Recorded 3/2/99
09/296288	4/23/1999	Granted	9/3/2002	6442814	5259-03100USO1	Apparatus for Manufacturing a Bone Dowel	Erik J. Wagner	010085/0138 Recorded 7/12/98
09/369864	8/6/1999	Granted	5/6/2003	6557226	6259-03100USP1	Apparatus for Manufacturing a Bone Dowel	Erik J. Wagner	010347/0747 Recorded 10/29/99
09/532367	3/22/2000	Granted	6/20/2003	6565668	5259-03600USO1	Sacral Screw Assembly and Method	Erik J. Wagner	011073/0752 Recorded 8/13/00
10/016206	1/11/8/2001	Filed			5259-03700USC1	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00
10/735976	12/15/2003	Filed			6259-03700USC2	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00

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Patent Number	Issue Date	Status	Class	Class	System and Method for	Inventor	Recorded Date
08479456	1/8/2000	Granted	5259-03700US01	5259-03700US01	Stabilizing the Human Spine with a Bone Plate	Jim Freid	0107320443 Recorded 4/20/00
09081756	9/24/2001	Granted	5259-04000USC1	5259-04000USC1	Instrument and Method for Implanting an Intubody Fusion Device	Michael E. Landry	0107650303 Recorded 6/5/00
09478823	1/6/2000	Granted	5259-04000US01	5259-04000US01	Instrument and Method for Implanting an Intubody Fusion Device	Michael E. Landry	0107650303 Recorded 5/5/00
09756183	7/5/2001	Granted	5259-04000USP1	5259-04000USP1	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	0120320283 Recorded 7/31/01
10387329	3/11/2003	Filed	5259-04500US01	5259-04500US01	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	0143800281 Recorded 8/11/03
10387363	3/11/2003	Filed	5259-04500US02	5259-04500US02	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	0144030784 Recorded 8/13/03
10387391	3/11/2003	Filed	5259-04500US03	5259-04500US03	Spinal Implant Including a Compressible Connector	Michael E. Landry	0143830746 Recorded 8/11/03
09852209	9/12/2001	Granted	5259-04600US01	5259-04600US01	Spinal Rod Translation Instrument	Robert J. Jones	0125570740 Recorded 1/30/02
08068428	10/6/2000	Filed	5259-04700US01	5259-04700US01	Transverse Connector with Cam Activated Engagers	Grady G. McBride	0116150894 Recorded 2/12/01
09684216	10/6/2000	Filed	5259-04800US01	5259-04800US01	Adjustable Transverse Connector	Grady G. McBride	0115180837 Recorded 2/12/01
09680756	10/6/2000	Filed	5259-04900US01	5259-04900US01	Adjustable Transverse Connector with Cam Activated Engagers	Grady G. McBride	0115930900 Recorded 3/15/01
10037219	1/8/2003	Filed	5259-07800US01	5259-07800US01	Surgical Implants for Use as Spinal Spacers	David J. Krueger	0141570620 Recorded 6/8/03
10200024	7/19/2002	Filed	5259-07900US01	5259-07900US01	Surgical Stabilization System and Method	Margaret E. Mitchell	0134490035 Recorded 10/29/02
10291246	11/8/2002	Filed	5259-08300US01	5259-08300US01	Spinal Implant	Javier Garcia-Benchoa	0137960202 Recorded 2/24/03

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Case No.	Date	Status	Product	Description	Manufacturer	Assignment
10/29/223	11/8/2002	Filed	5259-08900USQ2	Instruments and Methods for inserting a Spinal Implant	Jaylar Garcia-Benchoa	013789/0320 Recorded 2/21/03
10/356391	1/31/2003	Filed	5259-09200USQ1	Spinal Plate Extender System and Method	Jim Freid	0141810807 Recorded 8/16/03
10/351283	1/23/2003	Filed	5259-09800USQ1	Spinal Plate System for Stabilizing a Portion of a Spine	Jim Freid	0143870674 Recorded 8/14/03
10/351285	1/24/2003	Filed	5259-09800USQ2	Spinal Plate System for Stabilizing a Portion of a Spine	Jim Freid	014392/0118 Recorded 7/15/03
29/159057	2/1/2002	Filed	5259-10000USQ1	Extension Plate Bone Fastener Assembly for a Spinal Stabilization System	Michael E. Landry	0128230549 Recorded 4/22/02
10/688049	10/30/2003	Filed	5259-10700USQ1	Spinal Stabilization Systems and Methods Using Minimally Invasive Surgical Procedures	Michael E. Landry	Assignment filed 3/30/04 Reel/Frame not yet received
10/688010	10/30/2003	Filed	5259-10700USQ2	Spinal Stabilization Systems and Methods	Michael E. Landry	Assignment filed 3/28/04 Reel/Frame not yet received
10/697793	10/30/2003	Filed	5259-10600USQ1	Spinal Stabilization System Using Flexible Members	Michael E. Landry	Assignment filed 3/31/04 Reel/Frame not yet received
10/688046	10/30/2003	Filed	5259-11100USQ1	Spinal Disc Implant	James F. Marino	Assignment Filed 3/12/2004; Reel/Frame not yet received
10/688966	10/31/2003	Filed	5259-11300USQ1	Movable Disc Implant	David J. Krueger	Assignment filed 4/2/04 Reel/Frame not yet received
10/688618	10/31/2003	Filed	5259-12300USP1	Pseudo Arthro Device	Reginald J. Davis	0141640207 Recorded 6/12/03
10/631191	12/27/2002	Filed				